

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 02/28/2013

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

The Nickles Group, LLC

6089

(c) Business Address(es) of Registrant

601 13th St. NW, Suite 250N
Washington, DC 20005

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☒ No ☐(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

Doug Badger is no longer an owner, nor partner, of The Nickles Group, LLC as of 12/31/2012.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
Doug Badger	Partner	12/31/2012

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

Name	Residence Address	Citizenship	Position	Date Assumed
Donald H. Kent, Jr.	3114 Wellington Rd., Alexandria VA 22302	US	Vice President	10/1/2012

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

Taipei Economic and Cultural Representative Office in the U.S. (TECRO)

1/1/2013

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Embassy of the Republic of Korea

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☒ No ☐Exhibit B⁴ Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

During the 6 month reporting period, Registrant communicated with federal legislative officials regarding policies, legislation, and networking on behalf of the Embassy of the Republic of Korea and Taipei Economic and Cultural Representative Office in the U.S. (TECRO).

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See attached Exhibit A.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
12/12/12	Embassy of the Republic of Korea	Consulting Services	50,000
2/28/13	Taipei Economic and Cultural Representative Office in the U.S. (TECRO)	Consulting Services	20,000
			70,000
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐
- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
9/1/2012 - 2/28/2013	Taxi (Embassy of the Republic of Korea)	transportation to meetings	\$300.00
1/1/2013 - 2/28/2013	Taxi (Taipei Economic and Cultural Representative Office in the U.S. - TECRO)	transportation to meetings	\$40.00

\$340.00

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
See attached Exhibit B			

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☐ No ☒

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)March 27, 2013/s/ Diana WalterseSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

EXHIBIT A – During the 6 month reporting period, the following contacts were made by the Registrant on behalf of the Embassy of the Republic of Korea and Taipei Economic and Cultural Representative Office in the U.S. (TECRO).

OFFICE OF	DATES	ITEM DISCUSSED
Rep. Trent Franks	9/1/2012 – 2/28/2013	US-Korea Alliance
Rep. Doug Collins	1/8/2013 - 2/28/2013	US-Taiwan Alliance/US-Korea Alliance
Rep. Joe Courtney	1/14/2013	US-Korea Alliance
Rep. Ted Poe	1/31/2013 - 2/12/2013	US-Korea Alliance
Rep. Joe Barton	9/18/2012-12/19/2012	US-Korea Alliance
Rep. Steve King	9/18/2012-12/19/2012	US-Korea Alliance
Rep. Steny Hoyer	9/18/2012-12/19/2012	US-Korea Alliance
Rep. Adam Schiff	9/18/2012-12/19/2012	US-Korea Alliance
Rep. Bobby Rush	9/18/2012-12/19/2012	US-Korea Alliance
Rep. Jim Jordan	11/9/2012, 2/21/2013	US-Korea Alliance
Rep. Dana Rohrabacher	12/5/2012	US-Korea Alliance
Rep. Rob Andrews	10/15/2012	US-Korea Alliance
Rep. Eric Cantor	9/18-9/19/2012	US-Korea Alliance
Sen. John McCain	2/26/2013	US-Taiwan Relations
Sen. Ron Johnson	1/16/13-2/25/13	US-Taiwan Relations
Sen. Rand Paul	1/15/2013 - 1/18/2013	US-Taiwan Relations
Sen. Ted Cruz	1/15/2013-1/22/2013	US-Taiwan Relations
Sen. Orrin Hatch	2/26/2013	US-Korea Alliance
Sen. Mike Lee	1/8/2013	US-Taiwan Relations
Sen. John Cornyn	2/4/2013-2/6/2013	US-Korea Alliance
Sen. Bob Corker	11/29/2012 – 12/5/2012	US-Korea Alliance
Senate Foreign Relations Committee	9/18/2012-2/12/2013	US-Korea Alliance
Senate Budget Committee	9/18/2012	US-Korea Alliance
Senate Finance Committee	11/29/2012 - 12/5/2012	US-Korea Alliance
House Committee on Ways and Means	11/29/2012-11/30/2012	US-Korea Alliance

EXHIBIT B

DATE	AMOUNT OR THING OF VALUE	POLITICAL ORGANIZATION OR CANDIDATE
Nickles Group PAC		
10/3/2012	\$500	Tommy Thompson for Senate
Don Nickles		
12/18/2012	\$1,000	McConnell for Senate
1/19/2013	\$1,500	Republican Majority Fund
1/28/2013	\$2,500	McConnell for Senate
2/13/2013	\$1,000	Mullin for Congress
2/14/2013	\$2,500	Cornyn for Senate
2/14/2013	\$2,500	Republican Majority Fund Senator Chambliss
2/28/2013	\$1,000	Friends of Kelly Ayotte
Hazen Marshall		
9/13/2012	\$2,500	Orrin Hatch for Utah
9/13/2012	\$1,000	Scott Brown for U.S. Senate Committee
9/13/2012	\$500	Friends of Jim Bridenstine
9/20/2012	\$1,000	Friends of Connie Mack
9/20/2012	\$500	Hoekstra for Senate
9/20/2012	\$500	Markwayne Mullin for Congress
9/25/2012	\$1,000	McConnell Senate Committee
12/12/2012	\$2,500	Republican Party of Kentucky
12/13/2012	\$1,000	McConnell Senate Committee
1/15/2013	\$500	Frank Lucas for Congress
1/15/2013	\$1,000	Friends of Jeb Hensarling
2/6/2013	\$800	McConnell Senate Committee
2/6/2013	\$2,500	Republican Party of Kentucky
2/13/2013	\$500	Markwayne Mullin for Congress
2/14/2013	\$5,000	Texans for Senator John Cornyn
2/28/2013	\$1,000	Friends of Kelly Ayotte
Cynthia Merifield Tripodi		
9/20/2012	\$1,000	Friends of Connie Mack
10/2/2012	\$250	Romney Victory, Inc.
10/3/2012	\$250	Coble for Congress
10/4/2012	\$500	Mary Bono Mack Committee
10/11/2012	\$250	Ron DeSantis for Congress
12/11/2012	\$1,000	Leahy for Congress
1/29/2013	\$1,000	McConnell for Senate
2/14/2013	\$1,000	Texans for Senator John Cornyn
2/28/2013	\$1,500	Friends of Kelly Ayotte
Jeff Choudhry		
9/12/2012	\$500	House Conservatives Fund

9/12/2012	\$500	Wilson for Senate
9/14/2012	\$250	Rodney Davis for Congress
9/20/2012	\$500	Friends of Connie Mack
10/11/2012	\$1,000	Romney Victory Inc.
1/29/2013	\$1,000	McConnell for Senate
2/14/2013	\$500	Texans for Senator John Cornyn
2/28/2013	\$100	Tom Reed for Congress

Don Kent

1/14/2013	\$500	Republican Party of Kentucky
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